

09-15-04

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PATENT
Attorney Docket No.: 1948/US/3
Express Mail Label No.: EV 423773980 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant : John R. Klug	
Appln. No. : 10/673,073	Confirmation No.: 9290
Filed : September 27, 2003	Group Art Unit: 2157
Title : METHOD AND APPARATUS FOR IDENTIFYING, MANAGING, AND CONTROLLING COMMUNICATIONS	Examiner: Not Yet Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EV423773980US

Sir:

The undersigned hereby certifies that the following documents:

1. Supplemental Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page);
3. Copy of International Search Report (7 pages);
4. Copies of Two (2) References;
5. Certificate of Mailing by Express Mail (1 page); and
6. Return Card

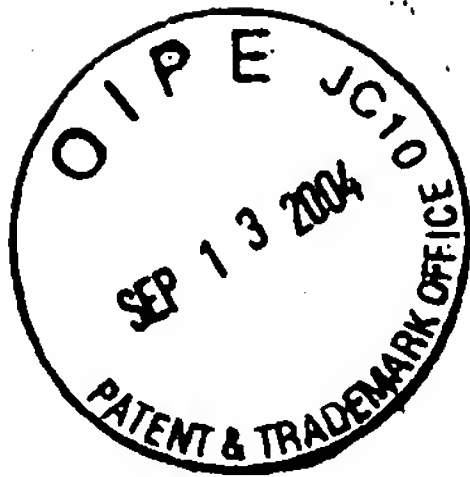
relating to the above application, were deposited as "Express Mail" under 37 CFR § 1.10, Mailing Label No. EV 423773980 US, with the United States Postal Service addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 13, 2004.

[Handwritten signature: Jane Lalis]

Mailer's Signature
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. §§1.97(b)(3) and 1.98)

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the U.S. Patent and Trademark Office's July 11, 2003 waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(i), copies of U.S. Patents and Publications are not provided. A copy of WO 00/79455 A1 and Hall, Robert J., "How to Avoid Unwanted E-mail", Communications of the ACM, Volume 41, No. 3, pp. 88-95, March, 1998, are enclosed for ready review.

The following references cited on the attached PTO-1449 were cited in an International search report dated August 11, 2004, in corresponding PCT Application No. PCT/US04/06541:

Serial No. 10/673,073
Attorney Docket No. 1948/US/3
Express Mail Label No. EV 423773980 US

U.S. Patent No. 5,771,289, U.S. Patent No. 5,822,738, U.S. Patent No. 5,822,739, U.S. Patent No. 6,005,945, U.S. Patent No. 6,141,654, U.S. Patent No. 6,351,764, U.S. Publication No. 2002/0194308, WO 00/79455 A1, and Hall, Robert J., "How to Avoid Unwanted E-mail", Communications of the ACM, Volume 41, No. 3, pp. 88-95, March, 1998. A copy of the International search report is enclosed herewith. The remainder of the references cited on the attached PTO-1449 were cited by the Examiner in an Office Action, dated July 2, 2004, in related, co-pending U.S. Patent Application No. 10/673,107.

This Information Disclosure Statement is being filed before the mailing date of a first Office action. Therefore, pursuant to 37 C.F.R. 1.97(b)(3), no fees are due with respect to this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

Should the examiner have any questions please contact the undersigned attorney.

Dated: 9/13/04

Respectfully submitted,



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USPTO Customer No. 20686

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
1948/US/3

APPLN. NO.
10/673,073

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT:
John R. Klug

FILING DATE
September 27, 2003

ART UNIT
2157

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,508,817	04/16/1996	Kunigami			
	2.	5,694,322	12/02/1997	Westerlage et al.			
	3.	5,771,289	06/23/1998	Kuzma			
	4.	5,822,738	10/13/1998	Shah et al.			
	5.	5,822,739	10/13/1998	Kara			
	6.	5,970,481	10/19/1999	Westerlage et al.			
	7.	6,005,945	12/21/1999	Whitehouse			
	8.	6,047,272	04/04/2000	Biliris et al.			
	9.	6,141,654	10/31/2000	Heiden et al.			
	10.	6,240,402	05/29/2001	Lynch-Aird			
	11.	6,351,764	02/26/2002	Voticky et al.			
	12.	6,619,544	09/16/2003	Bator et al.			

U.S. PUBLISHED DOCUMENTS

EXAMINER INITIAL		PUBLICATION NUMBER	PUB. DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	13.	2002/0059138	05/16/2002	Priest et al.			
	14.	2002/0194308	12/19/2002	Hall			
	15.	2003/0078893	04/27/2003	Shah et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL		DOCUMENT NUMBER	PUB. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	WO 00/79455 A1	12/28/2000	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	17.	Hall, Robert J., "How to Avoid Unwanted E-mail", Communications of the ACM, Volume 41, No. 3, pp. 88-95, March, 1998.					
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

